KGMM

PRACTICE BRIEF

STRATEGIC ADVISORY & EXECUTION

Governance & Legal

Risk, compliance, and legal operations translated into clear responsibilities and practical controls.

THE BUSINESS CHALLENGE

Requirements, evidence, and decisions often sit across disconnected teams and systems. Leaders need traceable responsibilities and a maintainable view of risk, controls, and remediation.

OUR APPROACH

Agree objectives and applicable requirements with the responsible teams. Map controls and evidence, assess agreed areas, and turn findings into accountable action and reporting.

SERVICES IN THIS PRACTICE

Internal Audit & Assurance

Use risk-based reviews to clarify control performance, evidence gaps, and actions.

Regulatory Compliance

Organize requirements, controls, evidence, and responsibilities into maintainable programs.

Financial Crimes Compliance

Support due diligence, monitoring workflows, investigations, and control oversight.

Enterprise Risk Management

Connect strategic objectives, risk ownership, and leadership reporting.

SOX 404 Controls Testing

Support documentation, walkthroughs, testing, and remediation tracking.

Legal Operations Innovation

Improve intake, workflows, systems, and information for in-house legal teams.

Litigation & eDiscovery Support

Support counsel-directed preservation, collection, organization, and review workflows.

TYPICAL ENGAGEMENT OUTPUTS

- Requirements, controls, and evidence maps
- Documented findings and remediation plans
- Workflow and ownership improvements
- Management reporting and decision support

Request a consultation

Explore this practice online

Professional responsibilities, required qualifications, and counsel-directed work are agreed for each engagement.